Schools under Mandatory Testing Can Mitigate the Spread of SARS-CoV-2
IZA – Institute of Labor Economics
Schaumburg-Lippe-Straße 5–9 Phone: +49-228-3894-0
53113 Bonn, Germany Email: publications@iza.org
www.iza.org

DISCUSSION PAPER SERIES

IZA DP No. 14844

Schools under Mandatory Testing Can Mitigate the Spread of SARS-CoV-2

Ingo E. Isphording
IZA and CESifo
Marc Diederichs
Johannes Gutenberg University Mainz
Reyn van Ewijk
Johannes Gutenberg University Mainz
Nico Pestel
Maastricht University and IZA

NOVEMBER 2021

Any opinions expressed in this paper are those of the author(s) and not those of IZA. Research published in this series may include views on policy, but IZA takes no institutional policy positions. The IZA research network is committed to the IZA Guiding Principles of Research Integrity.

The IZA Institute of Labor Economics is an independent economic research institute that conducts research in labor economics and offers evidence-based policy advice on labor market issues. Supported by the Deutsche Post Foundation, IZA runs the world’s largest network of economists, whose research aims to provide answers to the global labor market challenges of our time. Our key objective is to build bridges between academic research, policymakers and society.

IZA Discussion Papers often represent preliminary work and are circulated to encourage discussion. Citation of such a paper should account for its provisional character. A revised version may be available directly from the author.
ABSTRACT

Schools under Mandatory Testing Can Mitigate the Spread of SARS-CoV-2*

We use event-study models based on staggered summer vacations in Germany to estimate the effect of school re-openings after the summer of 2021 on the spread of SARS-CoV-2. Estimations are based on daily counts of confirmed coronavirus infections across all 401 German counties. Our results are consistent with mandatory testing contributing to containment of cases by uncovering otherwise undetected (asymptomatic) cases. Case numbers in school-aged children spike in the first week after the summer breaks but then turn not significantly different from zero. Case numbers in prime-aged age groups gradually decrease after school re-openings, arguably as a result of detected clusters through the school testing. The age group 60+ remains unaffected by the school re-openings. We conclude that the combination of mandatory testing and compulsory school attendance can provide an unbiased and near-complete surveillance of the pandemic. Thus, under certain conditions open schools can play a role in containing the spread of the virus. The trade-off between reducing contacts and losing an important monitoring device has to be taken seriously when re-considering school closures as a nonpharmaceutical intervention under the current circumstances.

JEL Classification: I12, I18, I28
Keywords: COVID-19, schooling, education, Germany

Corresponding author:
Ingo Isphording
Institute of Labor Economics (IZA)
Schaumburg-Lippe-Str. 5-9
53113 Bonn
Germany
E-mail: isphording@iza.org

* The authors declare no competing interest. We are grateful to Pooja Singh and Khalid Imran who provided excellent research assistance.
1 Introduction

The SARS-CoV-2 pandemic is far from over yet. More than one and a half years after its global onset in early 2020, the spread of the novel coronavirus continues to cause large numbers of new infections, hospitalizations and deaths worldwide. At the same time, the pandemic situation has substantially changed over the last months due to several major "game changers": about half of the world’s population has been vaccinated against the disease, yet with vaccination rates being much higher in rich compared to poor countries, and vaccines being unapproved for children until very recently. Further, more contagious variants of SARS-CoV-2 ("Delta" strain) have appeared, lowering the effectiveness of vaccines. Finally, new technologies, especially large-scale rapid testing, provide new measures to help contain the spread of the virus. Taken together, these factors once more bring schools to the center of the public debate: vaccination rates among adolescents lag behind those of adults, as population-wide vaccination campaigns among this age group only started recently. Meanwhile, vaccination remains mostly unavailable to those younger than 12 years of age. This leaves children vulnerable to infection and through spillovers may increase infection rates among their families and populations at large. As a result, on 31 October 2021, schools in 64 countries worldwide remained fully or partially closed due to pandemic concerns.

Up until now, there is no consensus about the role of schools in transmitting the virus. Correlational studies relying on before/after comparisons indicate zero to large effects on case numbers after school openings. Yet, these studies lack a valid identification of the causal effect of open schools. Studies employing plausible quasi-experimental designs provide a more consistent picture. Under strict hygiene rules as well as testing and quarantining regimes, open schools contribute nothing or only little to rising case numbers. Yet, it is questionable whether the existing evidence stemming from settings before the spread of new variants, and with low or zero vaccination rates among adults, extrapolates well to the current situation.

Against this background, we provide the first causal evidence on the impact of opening schools in a situation with the dominant “Delta” strain and substantial vaccination rates. We do so by replicating the empirical approach by Isphording et al. (2021) with the most recent data on official daily case counts by age group across all 401 German counties (Kreise). To identify a causal effect of school openings, we exploit the staggered timing of summer breaks across German federal states with schools closing in June/July and re-opening in August/September 2021 after having been fully closed for about six weeks. We implement an event study design in which we compare changes in newly confirmed cases in re-opening states relative to the end of summer breaks. We keep mobility patterns measured by Google Mobility Reports statistically constant between treatment and control group. This approach implies that we compare against the counterfactual situation of summer breaks ending, but without students returning to in-class teaching, i.e., adopting distance learning arrangements.

Our results show that schools did not contribute to the overall growth in case numbers. Further, our results are consistent with the hypothesis that the combination of mandatory

1 See: https://ourworldindata.org/covid-vaccinations (last accessed: 11 November 2021).
3 We summarize the existing literature in Section 2.1
testing in schools and compulsory school attendance contributed to a containment of cases after the summer breaks. Compared to control states, we observe an initial spike in detected cases in the first two school days among school-aged students, displaying the detection of clusters that remained undetected during the summer breaks. Prime-aged population groups experience a gradual decrease after school re-openings relative to control states, arguably due to spillovers from the early detection and quarantining. Vulnerable age groups aged 60 and above are entirely unaffected by the school re-openings.

Our results have important implications for the design of future non-pharmaceutical interventions to mitigate the spread of SARS-CoV-2, but also comparable future diseases. School closures were one of the most widely used non-pharmaceutical interventions during the first waves of the pandemic, with more than 1.6 billion students globally being affected at peak. School closures produced substantial direct and indirect costs in learning losses (Engzell et al., 2021), children’s health and mental well-being (Viner et al., 2021), parental labor market outcomes (Hegness, 2020) and domestic violence (Leslie and Wilson, 2020). Moreover, these costs are borne primarily by low socio-economic status households, increasing inequality (Jang and Yum, 2020). Yet, empirical evidence on their effectiveness is sparse, ambiguous, and based on data before the aforementioned “game-changers”. Our results imply that schools under a set of hygiene rules and mandatory testing remain a safe place. These hygiene rules include regular airing or the use of air filters and mandatory mask-wearing. Mask mandates were a crucial feature of hygiene concepts in place during the weeks after the summer break, but were relaxed or abandoned some weeks later by some federal states. Further, the combination of compulsory school attendance and mandatory rapid testing provides an important, unbiased surveillance of the scope of the pandemic which is crucial for the early detection and quarantining of clusters. The argument of a crucial role of rapid mandatory testing has been made before by complementary simulation studies by Mohring et al. (2021) and Gabler et al. (2021). Taken together, our results therefore strongly suggest not to consider school closures as a preferred non-pharmaceutical intervention under the current circumstances.

2 Background

2.1 Schools and SARS-CoV-2

School closures have been an effective strategy against earlier pandemics through the mechanical reductions in social contacts (e.g., influenza, see Cauchemez et al., 2009; Bin Nafisah, 2018), yet they come with substantial costs in learning, future wages, physical and mental health, as well as substantial spillovers to parental labor supply and well-being. Werner and Woessmann (2021) provide a comprehensive review of this “legacy” of Covid-19 on education. These costs have to be carefully weighed against the positive effects of school closures in mitigating the spread of the virus (Adda, 2016). Whether or not school closures are an effective non-pharmaceutical intervention in the case of SARS-CoV-2 is debated heatedly. Early evidence tracing specific outbreaks to school environments drew public attention to the role of schools (Stein-Zamir et al., 2020). Contact-tracing studies in school environments confirmed that children are not exempted from transmitting the virus (Heavey et al., 2020).
Fontanet et al., 2020; Macartney et al., 2020). First systematic evidence was mainly relying on time-series data and simple overtime comparisons. A systematic review by Walsh et al. (2021) describes a large heterogeneity in results, with half of the studies documenting significantly reduced community transmission, while the remaining studies report no association. A similar heterogeneity is displayed in prospective modelling and simulation studies. Again, results range from school closures being effective mitigating policies (Panovska-Griffiths et al., 2020) to null results (Chang et al., 2020; Davies et al., 2020). Some simulation studies highlight the role of distancing measures, e.g., small group teaching, in containing outbreaks in schools (Lee et al., 2020).

A potential caveat of most of the association and simulation studies is that the underlying overtime variation does not allow for a causal identification of the effect of schools. In most cases, underlying empirical approaches boil down to before/after comparisons, with the main shortcoming that other simultaneous factors are not controlled for. Yet, proper quasi-experimental approaches are difficult to come by, with the Covid-19 crisis having a near-universal and worldwide influence on every aspect of life. A number of notable exceptions apply valid identification strategies to estimate the causal effect of school closures and re-openings. Several studies apply panel regressions based on longitudinal variation across U.S. counties. Chernozhukov et al. (2021) estimate that cases and deaths in counties with in-person or hybrid teaching substantially increased. The effect was found to be stronger for counties without any mask mandate for staff. Goldhaber et al. (2021) find modest positive effects of school re-openings on case numbers in the U.S. states of Washington and Michigan, primarily when pre-existing case numbers are high. Harris et al. (2021) find no effect for school re-openings when case numbers are low. Results for higher levels of case numbers are inconclusive. Differently from these papers, Courtemanche et al. (2021) focus on the state of Texas, where schools re-opened under hardly any precautionary measures and under high levels of community spread. Their estimates indicate a strong positive effect on case numbers and fatalities in the weeks after the school re-openings. In accordance with these very different effect patterns, Ertem et al. (2021) employ event-study designs and find no effect of re-opening schools in the North of the U.S., but significant and sustained effects in the South, indicating a role of behavioral differences.

For countries beyond the U.S. Vlachos et al. (2021) compare students of upper secondary schools in Sweden during the first wave who moved to online instruction with students of lower secondary schools which remained open. Parents of in-school students experience a small increase in confirmed infections. Stronger effects are found for directly exposed teachers. For Japan, Fukumoto et al. (2021) find no evidence for higher case numbers after school re-openings based on a matching approach on the municipality level. Two studies apply quasi-experimental approaches for Italy. Alfano et al. (2020) compare early re-opening schools in Bolzano with a synthetic control group of comparable Italian provinces, finding substantially higher case numbers after school re-openings, yet offering no discussion of the circumstances of school re-openings. Amodio et al. (2021) use locally delayed re-openings of single schools on georeferenced cases in Sicily to identify a modest increase of cases by 2% two weeks after the school opening.

See also the discussion on why Covid-19 is a poor natural experiment by Bacher-Hicks and Goodman (2021).
Finally, and similar to the present study, Isphording et al. (2021) and von Bismarck-Osten et al. (2021) apply event-study designs to the staggered summer breaks in Germany to compare re-opening states with states that are still in their summer breaks. They do not find evidence for increased case numbers after summer breaks. Estimates by Isphording et al. (2021) rather point to slight and insignificant reductions in case numbers, potentially attributed to strict hygiene measures and changes in parental behavior.

Taking stock of the quasi-experimental evidence, we conclude that the effect of school re-openings is very context-specific. Yet, while far from being unambiguous, the overwhelming part of the literature suggests that schools could be re-opened safely in 2020 when conditions such like hygiene rules, distance measures, mask-wearing and testing were in place. Such strategies have been comprehensively described and discussed by scientists and practitioners alike, among others in Willyard (2021) and Buntin and Gavulic (2020). Yet, several factors have changed since 2020. Critics object that new and more aggressive variants of the virus may change the picture. Early media reports on the new “Delta” variant suggested that children may be particularly vulnerable to this new strain. More comprehensive evidence was less conclusive about the particular impact on children (Brookman et al., 2021). At the same time, other factors have changed, too. Vaccination rates in many Western countries are now substantial, also among older school-aged children. Scientific evidence on the virus’ transmission has led to more targeted mitigation measures, such as the application of air filters in classrooms. Given these changes, it appears important to re-analyze the effect of school re-openings under the new contextual setting.

2.2 Testing, school hygiene measures and vaccinations

During our observation period, while in general having autonomy about school policy, German states implemented similar and comparable measures against the spread of SARS-CoV-2 in schools. These measures comprised of regular testing, quarantining of positive cases and suspects for infection, as well as general hygiene measures.

From the end of summer breaks in 2021 on, both students and teachers were tested regularly (two or three times per week) using rapid antigen tests. In the weeks immediately after the re-opening of schools after the summer break, testing was more frequent, up to daily depending on the state. Testing was mandatory, and opt-out was not an option except for those who had been vaccinated or infected earlier. Individuals with positive test results were isolated and had to undergo a PCR test in order to confirm whether the rapid antigen test was a true positive. In some states, namely North Rhine-Westphalia and later Bavaria, pooled PCR tests were used to jointly test entire classes and to identify single positive cases only after. States further decided on a common set of quarantine rules. Positive tests led to immediate quarantining of the positively tested student. Peers, class members or seat neighbors, who are

---


6Only in Thuringia, mandatory testing was abandoned after a few weeks; testing regimes were coupled to county incidence rates.
suspect to having potentially been infected, too, go into a quarantine which could be shortened with a negative test after five days.

Besides testing, a number of additional measures were kept in place. All states required that classrooms were aired frequently by opening windows, or by means of mobile or fixed air filters. Mask-wearing remained mandatory in the period immediately after the summer break, with mask regulations becoming heterogeneous between federal states, often tied to incidence numbers.

During the observation period, schools remained open in all federal states. Full or partial school closures were planned for case numbers reaching pre-defined thresholds, which did not happen during the observation period.

Population-wide vaccination rates in Germany increased over the observation period to 65% being fully vaccinated (with an additional 3% having received a first dose), which reflects a lower bound due to imperfect registration systems. Among children, vaccination rates remained markedly lower. An official advice by the German Standing Vaccination Committee (STIKO) to vaccinate those aged 12–17 was given on 16 August 2021. By the first week of October, the rate of fully vaccinated among this group remained at around 35%. Vaccinations among younger children played no role during the observation period.

### 2.3 Summer breaks in Germany

In Germany, the timing of the six-week-long summer breaks vary across states since the 1950s. This varying schedule is supposed to avoid traffic congestion as well as excess demand for holiday accommodation in tourist regions if the entire German population went on holidays at the same time. The staggered timing of summer vacation periods follows a long-term scheduling (currently up to 2024) and is decided by the Standing Conference of the Ministers of Education and Cultural Affairs (Kultusministerkonferenz, KMK), a consortium of state ministers responsible for education and schooling. Importantly, throughout the SARS-CoV-2 pandemic, the long-term scheduled summer break schedules in 2020 and 2021 remained unaffected by regional differences in case numbers.

Figure 1 shows the school starting dates after the summer breaks in 2021 across German states ranging from early-August to mid-September 2021. Only on two days at the end of July 2021, schools across all German states were closed simultaneously due to summer breaks. Therefore, we can exploit the exogeneity in the staggered timing of school re-openings after summer vacations across German states for causal identification of their impact on confirmed case numbers.

---

7See [www.rki.de/DE/Content/InfAZ/N/Neuartiges_Coronavirus/Daten/Impfquoten-Tab.html](http://www.rki.de/DE/Content/InfAZ/N/Neuartiges_Coronavirus/Daten/Impfquoten-Tab.html) (last accessed: 11 November 2021).

8See [https://www.kmk.org/service/ferien.html](https://www.kmk.org/service/ferien.html) for details (last accessed: 11 November 2021).
Figure 1: School Opening Dates after Summer Vacation 2021 in Germany

Note: This graph shows a map of German counties and highlights counties in states by the date of school opening after summer vacation 2021. Counties (states) highlighted in dark gray start the new school year on the respective date, while light gray indicates that they are still on summer vacation and medium gray indicates that they had already re-opened schools at an earlier date. School re-opening dates are as follows, 2 August: Mecklenburg-Vorpommern, Schleswig-Holstein, 5 August: Hamburg, 9 August: Berlin, Brandenburg, 18 August: North Rhine Westphalia, 30 August: Hessia, Rhineland-Palatinate, and Saarland, 2 September: Lower Saxony, Bremen, and Saxony-Anhalt, 6 September: Saxony and Thuringia, 13 September: Baden-Wuerttemberg, 14 September: Bavaria. Source: KMK.

3 Data and empirical strategy

3.1 Data and descriptives

Confirmed cases of SARS-CoV-2 infections. Estimations are based on daily new confirmed SARS-CoV-2 cases by age group (5–14, 15–34, 35–59, 60+) by all 401 German counties, recorded on the date the local public health authorities became aware of a case. Case numbers are normalized by 100K population by county and age group. Data on the observation window from 27 July to 4 October was collected from the publicly available database of the Robert-Koch-Institut (RKI).

Mobility patterns. To account for changes in individual mobility that might contribute to changes in infection patterns, we keep mobility constant by controlling for state-level mobility information from the Google Mobility Reports. The data contain relative stay durations in groceries, parks, home, retail and recreation, transit stations and workplaces. Figure A.1 displays the gradual return of workers to workplaces, retail markets and transit stations and the reduced stay durations in residential areas after the end of summer breaks.
Table 1: Summary Statistics - confirmed cases of SARS-CoV-2 (by county and day)

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Full Period</th>
<th>Before Summer Break</th>
<th>During Summer Break</th>
<th>After Summer Break</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>SD</td>
<td>Mean</td>
<td>SD</td>
</tr>
<tr>
<td>5-14</td>
<td>12.0</td>
<td>22.3</td>
<td>1.7</td>
<td>5.5</td>
</tr>
<tr>
<td>15-34</td>
<td>8.7</td>
<td>12.0</td>
<td>1.9</td>
<td>3.6</td>
</tr>
<tr>
<td>35-59</td>
<td>4.7</td>
<td>6.9</td>
<td>0.9</td>
<td>1.8</td>
</tr>
<tr>
<td>60+</td>
<td>2.7</td>
<td>5.6</td>
<td>0.6</td>
<td>1.9</td>
</tr>
<tr>
<td>All Ages</td>
<td>6.1</td>
<td>8.3</td>
<td>1.2</td>
<td>1.8</td>
</tr>
<tr>
<td>Observations</td>
<td>45,600</td>
<td>17,100</td>
<td>22,375</td>
<td>22,375</td>
</tr>
</tbody>
</table>

Note: This table summarizes means and standard deviations of confirmed cases of SARS-CoV-2 normalized by 100K population by county and age group. The full observation period covers 13 June 2021–04 October 2021.
Source: RKI and Statistical Office.

3.2 Sample Description

Table 1 summarizes case numbers over the period of observation by age group and separately for periods before, during and after the summer breaks. Two features are apparent that highlight the difference in the situation of 2021 compared to the situation one year earlier as analyzed in Isphording et al. (2021). While in 2020 case numbers were concentrated in older and vulnerable age groups, now in all periods confirmed cases peak in the youngest age group of 5–14 years. This reflects the impact of increasing vaccination rates. Second, case numbers are on average five times higher than in the same period surrounding the end of summer breaks in 2020. The descriptive data also display the strong dynamics over the summer breaks. While average cases per 100K are at just 1.2 cases per day before the summer breaks, they increase to 11.5 cases per 100K after the summer breaks. This increase is similar in relative terms over all age groups.

Figure 2 depicts this dynamic development over the summer breaks. During the aftermath of the third wave in spring, schools were only partially open and case numbers decreased strongly. Yet, coinciding with the beginning of summer breaks, they slowly started to rise again, peaking shortly after the first states had re-opened their schools, and then falling back again. While several reasons may be brought up for this particular development, we will see in the following analysis that school openings with their accompanying regular and mandatory testing seem to have contributed to this pattern.

Note that these are daily average cases, different from the “incidence” as a 7-days cumulative sum of cases.
Figure 2: Timeline of COVID-19 Pandemic and School Closures and Openings in Germany

Note: This graph shows the evolution of the number of new confirmed cases per seven days of SARS-CoV-2 infections per 100,000 inhabitants for Germany as a whole (solid line) and by states with summer breaks ending up until 18 August 2021 (early re-opening states) and states with summer breaks ending thereafter (late re-opening states). The shaded areas describe the different phases of school closures and re-openings in Germany. Source: RKI, own presentation.

3.3 Empirical Strategy

Following closely earlier estimations by Isphording et al. (2021), we estimate the causal effect of the end of summer breaks and the associated school re-openings on the spread of the pandemic by exploiting the staggered summer break schedule across federal states. We apply an event-study approach that intuitively compares changes in case numbers in re-opening states to changes in case numbers in states that will only re-open in the future.

To interpret this difference as the causal effect of school re-openings, we assume that case numbers in re-opening states would have changed similarly to those in the control states in the unobservable counterfactual situation of schools not being re-opened after summer breaks. While we cannot directly test this assumption, insignificant differences between groups of states indicate a parallel development of case numbers before school re-openings, which strongly supports the causal interpretation of our estimates.

Our empirical model reads:

$$\text{CoV}_{it} = \alpha_i + \mu_t + \sum_{\tau=-15, \tau \neq 0}^{42} \beta_\tau \text{SchoolsOpen}_{s(i), t-\tau} + X'_\tau \gamma + \varepsilon_{it}. \quad (1)$$
The outcome $CoV_{it}$ describes new confirmed SARS-CoV-2 infections by county $i$ and date $t$, normalized per 100K of population. In a robustness check we estimate the same model using the natural logarithm of this number instead. The model is estimated separately by age group. The indicator $SchoolsOpen_{s(i),t-\tau}$ describes the time lag of day $t$ to the end of summer breaks in county $i$ in state $s$. We consider an effect window of two weeks before and six weeks after the summer breaks.\(^{10}\) All observations before and after the respective state-specific event window are aggregated into bins at the endpoints (Schmidheiny and Siegloch 2019). We consider $\tau = 0$, the last day before the end of summer breaks, as our comparison period.\(^{11}\) County-specific time-invariant confounders such as population structure are captured by county fixed effects $\alpha_i$. Time-variant confounders such as the global state of the pandemic and federal restrictions are captured by date-fixed effects $\mu_t$. Time-varying variables $X_{it}$ include mobility patterns by Google Mobility Reports, the county’s vaccination rate 14 days ago, and cumulative case numbers over the past 14 days. Standard errors in all estimations are clustered at the federal state level.

## 4 Event Study Results

Figure 3 displays the event study results based on Equation (1) separately by age group. The black solid line connects coefficients that display the difference between re-opening and control states relative to the last day of summer breaks ($t = 0$).\(^{12}\)

For all age groups, we observe a flat pre-trend with coefficients insignificant and close to zero. This flat trend rules out concerns about several sources of potential confounders. First, the flat trends speak against any time-variant influences spuriously correlated with the timing of summer breaks and the pandemic course. Second, flat trends speak against early and late openers being at different stages at the pandemic producing spurious effects of school re-openings. This argument is further supported by the descriptive evidence in Figure 2 which shows that early and late opening states display parallel developments which are set apart by about the average distance in summer break schedules. Third, the flat trends speak against a strong role of travel returnees in producing our result patterns, mechanically increasing case numbers right before the end of summer breaks, which should result in diverging trends right before $t = 0$. Taken together, the flat trends suggest that the identification assumption of parallel trends in the absence of school re-openings is plausible.

After schools re-open, we observe for the youngest age group of 5–14 years a significant spike in case numbers. This age group comprises entirely of (pre-)school-aged children, which were rarely tested during summer breaks, and who are now exposed to regular testing during school.\(^{13}\) Accordingly, asymptomatic cases among children were detected and quarantined.

---

\(^{10}\) We do not prolongue the effect window further to avoid confounding by the then starting autumn holidays.

\(^{11}\) Here we differ from (Isphording et al. 2021) where the first school day was used as comparison group.

\(^{12}\) Figure A.2 shows the results when using the natural logarithm of the infection numbers. Qualitative patterns are comparable, yet peaks after school re-openings are less pronounced in the logarithmic specification.

\(^{13}\) Mandatory schooling in Germany starts in the year a child turns six. The last year of kindergarten/pre-school is free of charge and almost universally attended.
The increased number of cases decreases gradually thereafter, being statistically indistinguishable from the counterfactual after two weeks.

A similar, yet less pronounced spike is also observed for the age group 15–34, which includes both older high-school students as well as young parents[^14]. After the initial spike, we again observe a gradual decrease in case numbers, accumulating to a significant long-term reduction of up to almost nine cases per 100K population.

For the age group 35–59, the initial spike does not materialize. Still, case numbers gradually decrease after school openings, with a long-term decrease of about seven cases per 100K population. Finally, for the age group of the most vulnerable of 60 years and older, we still observe a slight reduction after school closures, which remains statistically insignificant.

Taken together, the differing patterns across age groups are in line with schools under mandatory testing being an important measure to screen the population. While participation in indoor activities was largely restricted to vaccinated, formerly infected or tested persons, the demand for rapid testing was declining rapidly over the summer of 2021 as more and more people got vaccinated[^15]. In this situation, the transition from the summer break setting to an environment with comprehensive and compulsory testing led to the sudden and sustaining detection of asymptomatic infections among school-aged children that would otherwise have remained undetected. Detected cases as well as direct contacts (defined either as seat neighbors or whole classes) were sent into quarantine. Beside testing and quarantining, strict hygiene rules of mask-wearing and venting were in place (see Section 2.2).

[^14]: Unfortunately, age bins are provided by the RKI and do not allow for a sharp distinction between students and older population groups.

[^15]: Figure A.3 highlights this decrease in demand by Google searches for publicly provided rapid speed testing, the so called “Bürgertests”.
Figure 3: The Effect of the End of Summer Breaks on Confirmed Cases by Age Groups

Note: This graph plots the point estimates ($\hat{\beta}, \tau \in [-15, 42]$) and corresponding 95% percent confidence intervals of the event study model as defined in Equation (1), separately estimated for cases by age groups 5–14, 15–34, 35–59 and 60+. The dependent variable is always the daily count of confirmed cases per 100K population per county and age group. The vertical line at $\tau = 0$ indicates the day before school re-opening. The regressions include fixed effects on the county and day level, as well as time-varying controls for mobility, cumulative case numbers and local vaccination rates. Standard errors are clustered at the federal state level.

5 Conclusion

In this study, we apply the methodology of Isphording et al. (2021) to recent data on confirmed cases of SARS-CoV-2 in German counties surrounding the end of summer breaks. Applying an event study model, we provide causal evidence of the isolated role of schools in transmitting the virus.

After summer breaks, schools re-opened under strict hygiene measures and implemented a mandatory rapid testing and quarantining system. Our results confirm the success of this policy. Our estimations suggest that testing and quarantining in schools substantially contributed to uncovering asymptomatic cases that would have remained unobserved during a summer break. The testing led to a pronounced spike in observed cases during the first week after re-opening. Observed cases decreased gradually during the following weeks, being statistically indistinguishable after two weeks. We further observe in prime-aged age groups, comprising of older students and parents, that case numbers steadily decrease below the counterfactual levels that would have prevailed if schools were kept closed. This suggests that early detection of infections by testing school-aged children reduces infections among their parents as well. Case numbers among the most vulnerable age group of ages 60+ remain unaffected.
Our results are in line with simulation-based evidence by Mohring et al. (2021) and Gabler et al. (2021) highlighting the important role of population-wide rapid testing. As Mohring et al. (2021) states, only the combination of compulsory schooling and mandatory testing allows for an unfiltered and unbiased look into the state of the pandemic. Our results suggest that increased infections through in-school contacts are more than offset by this surveillance effect of mandatory testing, spilling over into lower case numbers in prime-aged age groups.

We conclude that the trade-off between reducing contacts and losing an important surveillance device has to be taken seriously when re-considering school closures as a non-pharmaceutical intervention under the current circumstances. This is especially true considering the drastic immediate and short-term costs for children and their parents associated with school closures.
References


Chernozhukov, V., H. Kasahara, and P. Schrimpf (2021, February). The association of opening k-12 schools with the spread of covid-19 in the united states: County-level panel data analysis.


Figure A.1: The Effect of the End of Summer Breaks on Mobility Patterns

Note: This graph plots the point estimates ($\hat{\beta}_c, \tau \in [-15, 42]$) and corresponding 95% percent confidence intervals of the event study model as defined in Equation 1. The dependent variables is the percentage change in mobility compared to a baseline period. Mobility measures are based on Google Mobility Reports. The vertical line at $\tau = 0$ indicates the day before school re-opening. The regressions include fixed effects on the state by day-of-the-week and day level. Standard errors are clustered at the federal state level.
Figure A.2: The Effect of the End of Summer Breaks on Confirmed Cases by Age Groups, logarithmic specification

Note: This graph plots the point estimates ($\hat{\beta}_\tau, \tau \in [-15, 42]$) and corresponding 95% percent confidence intervals of the event study model as defined in Equation (1), with separately estimated by age groups 5–14, 15–34, 35–59 and 60+. The dependent variable is always the logarithm of the daily count of confirmed cases per 100K population per county and age group. The vertical line at $\tau = 0$ indicates the day before school re-opening. The regressions include fixed effects on the county and day level, as well as time-varying controls for mobility, cumulative case numbers and local vaccination rates. Standard errors are clustered at the federal state level.
Figure A.3: Search intensity for publicly provided Corona tests ("Bürgertests")

Note: This graph plots relative search intensity for publicly provided Corona tests ("Bürgertests") based on data collected from Google Trends. All numbers are interpreted relatively to the peak search intensity in late May.